

#3
EXPRESS MAIL NO. EL791520183US
Attorney Docket No. HAU234
Client/Matter No. 81250.0091.001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David T. Bailey, et al.

Serial No. 09/943,158

Filed: August 30, 2001

For: EFFICIENT METHOD FOR PRODUCING
COMPOSITIONS ENRICHED IN
ANTHOCYANINS

Group Art Unit: 1614

Examiner: Not Yet
Assigned

CERTIFICATE OF MAILING BY EXPRESS MAIL

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Notice to File Missing Parts of Nonprovisional Application;
2. Signed Declarations;
3. Fee Transmittal (in duplicate);
4. Check in the amount of \$65.00; and
5. Return Postcard

relating to the above application, were deposited as "Express Mail", Mailing Label No. EL791520183US with the United States Postal Service, addressed to Box MISSING PARTS, The Assistant Commissioner for Patents, Washington, D.C., 20231.

November 14, 2001
Date

Sarah S. O'Rourke
Mailer


November 14, 2001
Date

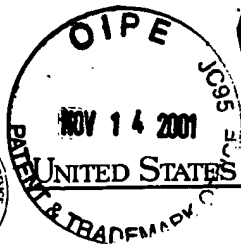
Sarah S. O'Rourke
Sarah S. O'Rourke, Reg. No. 41,226
HOGAN & HARTSON LLP
1200 17th Street, Suite 1500
Denver, Colorado 80202
(720) 406-5385 Tel
(720) 406-5301 Fax



<p>FEE of TRANSMITTAL for FY 2002</p>		Complete if Known	
		Application Number	09/943,158
		Filing Date	August 30, 2001
		First Named Inventor	David T. Bailey, et al
		Examiner Name	
TOTAL AMOUNT OF PAYMENT (\$)	(\$) 65.00	Group / Art Unit	1614
		Attorney Docket No.	HAU234

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																							
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:</p> <div style="border: 1px solid black; padding: 5px; margin: 5px 0; text-align: center;">50-1123</div> <div style="border: 1px solid black; padding: 5px; margin: 5px 0; text-align: center;">Hogan & Hartson L.L.P.</div> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17</p> <p><input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> </div> <div style="width: 50%;"> <p>3. ADDITIONAL FEES</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>130</td><td>65</td><td>Surcharge – late filing fee or oath</td><td>65.00</td></tr> <tr><td>50</td><td>25</td><td>Surcharge – late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>130</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>2,520</td><td>2,520</td><td>For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>920*</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>1,840*</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>110</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>390</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>890</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1,390</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1,890</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>310</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>310</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>270</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1,510</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>110</td><td>55</td><td>Petition to revive – unavoidable</td><td></td></tr> <tr><td>1,240</td><td>620</td><td>Petition to revive – unintentional</td><td></td></tr> <tr><td>1,240</td><td>620</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>440</td><td>220</td><td>Design issue fee</td><td></td></tr> <tr><td>600</td><td>300</td><td>Plant issue fee</td><td></td></tr> <tr><td>130</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>50</td><td>50</td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>180</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>40</td><td>40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>710</td><td>355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>710</td><td>355</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>710</td><td>355</td><td>Request for Continued Examination</td><td></td></tr> <tr><td>900</td><td>900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="3">Other fee (specify)</td><td></td></tr> </tbody> </table> </div> </div>				Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	130	65	Surcharge – late filing fee or oath	65.00	50	25	Surcharge – late provisional filing fee or cover sheet		130	130	Non-English specification		2,520	2,520	For filing a request for ex parte reexamination		920*	920*	Requesting publication of SIR prior to Examiner action		1,840*	1,840*	Requesting publication of SIR after Examiner action		110	55	Extension for reply within first month		390	195	Extension for reply within second month		890	445	Extension for reply within third month		1,390	695	Extension for reply within fourth month		1,890	945	Extension for reply within fifth month		310	155	Notice of Appeal		310	155	Filing a brief in support of an appeal		270	135	Request for oral hearing		1,510	1,510	Petition to institute a public use proceeding		110	55	Petition to revive – unavoidable		1,240	620	Petition to revive – unintentional		1,240	620	Utility issue fee (or reissue)		440	220	Design issue fee		600	300	Plant issue fee		130	130	Petitions to the Commissioner		50	50	Processing fee under 37 CFR 1.17(q)		180	180	Submission of Information Disclosure Stmt		40	40	Recording each patent assignment per property (times number of properties)		710	355	Filing a submission after final rejection (37 CFR § 1.129(a))		710	355	For each additional invention to be examined (37 CFR § 1.129(b))		710	355	Request for Continued Examination		900	900	Request for expedited examination of a design application		Other fee (specify)			
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SUBMITTED BY Complete (if applicable)				
Name (Print/Type)	Sarah S. O'Rourke	Registration No. (Attorney/Agent)	41,226	Telephone (720) 406-5385
Signature				Date Nov. 14, 2001



12-05-01

N Page 1 of 1

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UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/943,158	08/30/2001	David T. Bailey	HAU234

CONFIRMATION NO. 8638

FORMALITIES LETTER



OC00000006859100

Sarah O'Rourke
Hogan & Hartson, LLP
Suite 1500
1200 17th Street
Denver, CO 80202

Date Mailed: 10/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/07/2001 BABRAHA1 00000029 09943158

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65.00 OP